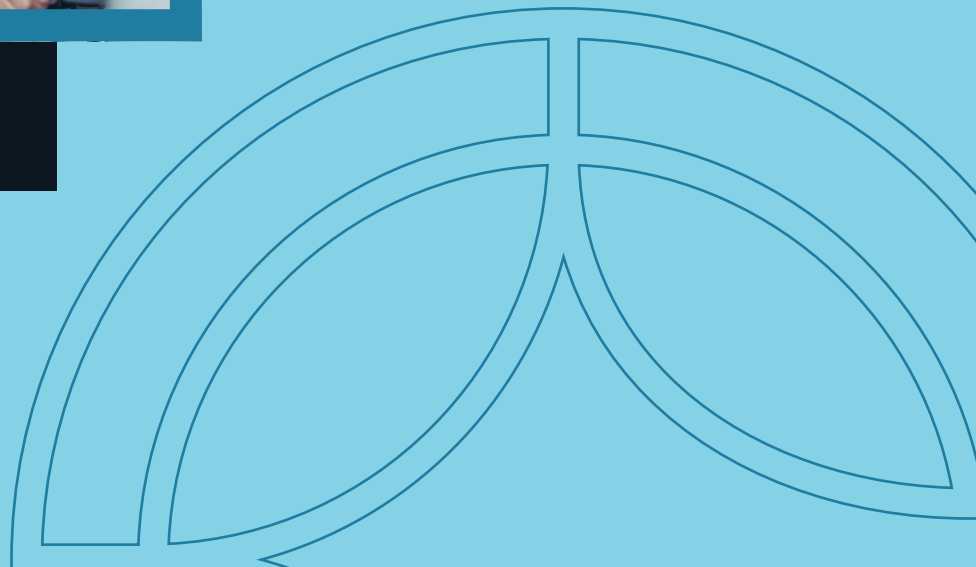




# Brokerage Services

INVESTED IN CU SUCCESS



# We know credit unions. It's what we do.

Your credit union's investment needs are as unique as the members you serve. Catalyst Corporate's well-rounded brokerage services offering provides tailored investment solutions for credit unions of all sizes and complexities. Through Catalyst Corporate's partnership with CU Investment Solutions, LLC, credit unions have access to a broad range of brokerage services, including buying and selling bonds and certificates of deposit. Catalyst Corporate investment officers are registered representatives for CU Investment Solutions and are trained in credit union regulations.

We're different. There's no one-size-fits-all approach or "bond-of-the-day" sales effort. Catalyst Corporate's Brokerage Services team serves as your trusted source for regulatory-permissible bonds and securities.

## Why use Catalyst Corporate and CU Investment Solutions?

Catalyst Corporate, together with CU Investment Solutions, can provide value to your credit union by offering customized strategies from a team of credit union-dedicated, experienced professionals with access to extensive bond inventories.

Through CU Investment Solutions, Catalyst Corporate members have access to the largest institutional desks at more than 30 primary and regional broker/dealers, resulting in highly competitive bond prices and yields, and access to one of the most extensive lists of bond inventories available to credit unions.

The benefit of this purchasing power is lower costs and higher yields for your credit union. The result of favorable pricing is more income to your credit union.

### CU INVESTMENT SOLUTIONS OFFERS:

- Competitive prices
- Up-to-date market information
- Efficient investment execution
- Regulatory expertise
- Trusted industry insight

### THE CATALYST CORPORATE DIFFERENCE:

- Credit union-owned and credit union-focused
- Trustworthy guidance that meets credit union needs with a clear discussion of potential risks
- Highly competitive bond pricing via industry-supported purchasing power
- Access to an extensive array of bond inventories ensures recommendations that are the right fit for your balance sheet

## Credit union-focus. Value-driven approach. Long-term success.

Your credit union's success is our mission. As your trusted source for investment services, Catalyst Corporate and CU Investment Solutions offer a wealth of regulatory knowledge and industry expertise that allows credit unions to purchase bonds and securities with confidence.

The CU Investment Solutions registered representatives at Catalyst Corporate have your credit union's best interests in mind. And as a not-for-profit cooperative, profits from Catalyst Corporate as well as CU Investment Solutions are returned to credit unions. These come through low spreads and competitive pricing, or in the case of CU Investment Solutions, through distribution of excess earnings through dividends to the corporate you own.

Partnering with Catalyst Corporate and CU Investment Solutions provides benefits from the relationships created by a broker/dealer affiliated with a majority of the corporate credit unions and more than 15 percent of all credit unions. When your credit union uses Catalyst Corporate's broker/dealer, it has access to inventories from the predominant primary and regional investment banks in the country. Clients receive competitive pricing and yields and efficient trade execution. They can also take advantage of the convenient settlement and safekeeping solutions available through Catalyst Corporate.

Altogether, Catalyst Corporate's investment services exemplify convenience throughout the trade, settlement, account management and record-keeping processes.

## CU INVESTMENT SOLUTIONS FEATURES & BENEFITS:

- Nearly 900 credit unions in all 50 states have access to comprehensive brokerage services.
- As a credit union-owned cooperative, CU Investment Solutions is exclusively focused on providing value to credit unions.
- Highly competitive bond prices and yields are available through CU Investment Solutions' relationships with the largest institutional desks of over 30 primary and regional broker/dealers.
- Available investments are especially suited for credit unions.
- Registered representatives ensure that credit unions buy only NCUA-permissible securities.
- Up-to-date market and economic information is provided to assist with timely portfolio decisions.
- Trade execution is efficient.
- Credit unions can take advantage of lower costs and higher yields through a large buyers' network.
- Clients work with experienced registered representatives with an extensive level of credit union knowledge.

Catalyst Corporate, along with five other corporate credit unions, owns CU Investment Solutions, LLC. Through this partnership, CU Investment Solutions works with more than 840 credit unions in all 50 states. The team of 42 registered representatives, traders and seasoned management professionals executes more than 2,000 trades annually – totaling between \$4 billion to \$8 billion.

Chartered to serve credit unions over 20 years ago, CU Investment Solutions quickly grew and gained a reputation as the broker/dealer of choice for the industry. CU Investment Solutions' business model and philosophy have remained steady during the changing financial landscape: provide significant value to investing credit unions coast to coast through a highly efficient broker/dealer platform of corporate credit unions.



- Chartered in 1998
- \$4.2 billion in volume (2019)
- 840 credit union clients across 50 states
- 42 registered representatives, traders, and management executives
- Access to over 30 primary and regional broker/dealer inventories

## Getting started is simple.

An account information form is required to begin purchasing or selling securities. Shortly after the account is opened, all credit unions are required to complete standard account agreements and Financial Industry Regulatory Authority (FINRA) disclosures. Safekeeping and transaction settlement accounts are readily available through Catalyst Corporate as well. Your registered representative can provide the necessary agreements to get started.



## LEARN MORE.

Catalyst Strategic Solutions' Advisory Service provides balance sheet solutions, portfolio management and integrated ALM guidance from a team of seasoned financial services professionals. Explore new earnings possibilities and drive future success with tailored services and the dedicated support of a Catalyst advisor.

For more information on how your credit union can benefit from Catalyst Strategic Solutions' Advisory Service, contact your financial solutions consultant at [contactis@catalystcorp.org](mailto:contactis@catalystcorp.org) or 800.301.6196.

## CONTACT US.

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**CU Investment Solutions LLC™**

All securities are offered through CU Investment Solutions, LLC. The home office is located at 8500 W. 110th Street, Suite 650, Overland Park, Kansas 66210. CU Investment Solutions, LLC, registered with the Securities and Exchange Commission (SEC) as a broker-dealer under the Securities Exchange Act of 1934. CU Investment Solutions, LLC, is registered in the state of Kansas as an investment advisor. Member of FINRA and SIPC. All investments carry risk; please speak with your representative to gain a full understanding of said risks. Securities offered are not insured by the FDIC or NCUSIF and may lose value. All opinions, prices and yields are subject to change without notice.



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